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| | | Application Number | 09/053,237 |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | April 1, 1998 |
| | | First Named Inventor | Earl Cohen RECE |
| | | Group Art Unit | 2731 NOV 1 7 |
| | | Examiner Name | Not Assigned Group 2 |
| Total Number of Pages in This Submission 321 | | Attorney Docket Number | CIS-032B |
| | ENCLOS | SURES (check all that app | ly) |
| Fee Transmittal Form Fee Attached Amendment / Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | Drawing Licensir Petition and Acc To Comprovisio Power of Change Address Termina Small E | Routing Slip (PTO/SB/69) companying Petition vert a mal Application of Attorney, Revocation of Correspondence | After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter X Additional Enclosure(s) (please identify below): Form SB/08/AB; cited art; and Return Postcard |
| SIGNATUF | RE OF APPLI | CANT, ATTORNEY, OR A | GENT |
| Firm or Individual name Daniel B. Curtis Signature Date | | Reg. No. 39,159 | |
| <i>,</i> , , , , , , , , , , , , , , , , , , | CERTIFIC | ATE OF MAILING | |
| hereby certify that this correspondence envelope addressed to: Assistant Commi | | | |
| Typed or printed name Arlette Malh | | , | <u> </u> |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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11.11.99

Date

Signature



PATENT TRADEWORK OFFICE

CIS-032B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Earl Cohen

Serial No.

09/053,237

Filed:

April 1, 1998

For: Route/Service Processor Scalability Via

Flow-Based Distribution of Traffic

RECEIVED

Art Unit:

2731

NOV 1 7 1999

Examiner:

Unassigned

Group 2700

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

> Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

on 11.11.99

Date

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

It is requested that the document(s) on the enclosed form be made of record.

Part I (Authority)

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

> This information disclosure statement is filed either (1) within three months of the filing date of the national applications; (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

> Accordingly, this information disclosure statement requires no fee and no certification.

37 C.F.R. § 1.97(c). ()

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113 or (2) a notice of allowance under 37 C.F.R. § 1.311.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) (\$240), or a certification according to 37 C.F.R. § 1.97(e).

() 37 C.F.R. § 1.97(d). This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97(c).

Accordingly, this information disclosure statement requires the petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$130), a certification according to 37 C.F.R. § 1.97(e), and a petition requesting consideration of the information disclosure statement.

Conditional Petition

It is respectfully requested that this information disclosure statement be considered, good cause being presented in Part III herein (certification). please treat this paper as the required petition.

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record.

To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

Part II (Payment)

Please charge any additional required fee or credit any overpayment to our Deposit Account No. 500365. An additional copy of this information disclosure statement is enclosed.

A check is enclosed as indicated:

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$240).
- () The petition fee specified in 37 C.F.R. § 1.17(i)(1) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) is enclosed (\$130).

Part III (Certification)

Pursuant to 37 C.F.R. § 1.97(e), I certify:

(X) No certification is necessary.

- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
 - () The "communication from a foreign patent office" referred to in the certification is an International Search Report, possibly issued by the U.S. Patent and Trademark Office in its capacity as an International Search Authority or International Preliminary Examining Authority.
 - () The "counterpart foreign application" referred to in the certification corresponds to an ancestor or descendent application of the application for which this information disclosure statement is filed.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Part IV (Additional Statement)

An additional statement regarding these items of information () is, (X) is not, enclosed.

Copies of the cited art (X) are enclosed, () are of record in parent application Serial No.

and will be provided if the Examiner deems it convenient.

D-4-4.

Daniel B. Curtis Reg. No. 39,159

Respectfully submitted,

Swernofsky Law Group Steven A. Swernofsky Daniel B. Curtis P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700